

Now we develop notation for groups, in order to talk about  $\pi_1(M)$

for various  $M$ .

Let  $G$  be a group and  $X = \{a, b, c, \dots\}$  a finite collection of elements of  $G$ . If every element  $g \in G$  can be written as products of powers of  $a, b, c, \dots$ , written in some order, including negative powers, then  $G$  is said to be finitely generated and  $X$  to be the set of generators. For example, we might have  $aba^{-1}$ ,  $a^{-2}cb^2a^3$ , etc. If two identical generators are adjacent, they may be combined, e.g.  $ab^2a^{-2}c = ab^2a^{-1}c$ . If any generator to the zero power occurs, we drop it, since  $a^0 = e = 1 =$  identity. A product of generators obeying these rules will be called reduced. If every element of  $G$  can be written uniquely as a reduced product of generators, then  $G$  is said to be freely generated.

If  $G$  is ~~not~~ finitely generated by  $X \subset G$  but not freely, then there are relations connecting the generators. For example, an Abelian group with two generators  $X = \{a, b\}$  will satisfy the relation  $ab = ba$ . This means that elements  $g \in G$  can be written as reduced products in more than one way. We handle this case by going back to the free group, and then dividing by a subgroup.

Let  $F$  be the free group constructed out of  $n$  generators  $(x_1, \dots, x_n)$ . Think of the generators as an alphabet. A word is a finite product of powers of letters,

$$x_{j_1}^{i_1} x_{j_2}^{i_2} \dots x_{j_s}^{i_s},$$

where  $i_k \in \mathbb{Z}$  and  $1 \leq j_k \leq n$ . If a word is reduced according to the rules above, then it is said to be reduced. Let  $F =$  set of reduced words.  $F$  acquires the structure of a group when we define

the product  
multiplication by concatenating, then reducing ~~words~~. The identity is the word of length zero.

Suppose  $G$  is generated by  $\{x_1, \dots, x_n\}$  but not freely. Then we can define a map

$$f: F \rightarrow G$$

which just maps reduced words in  $F$  into the same expression in  $G$ . However, this map is not injective if  $G$  is not free, i.e., there will be more than one <sup>reduced</sup> word in  $F$  that gives rise to a given element of  $G$  (for example,  $xy$  and  $yx$  if  $G$  is Abelian). The map is, however, surjective, since we are assuming the  $\{x_1, \dots, x_n\}$  generate  $G$ . The map  $f$  is also a group homomorphism, because the rules for combining words in  $F$  ~~is~~ also work in  $G$ . Therefore  $G = F / \ker f$ , where  $\ker f$  is the normal subgroup of  $F$  that is mapped onto the identity in  $G$ . ~~Words in  $\ker f$  have the form  $g r g^{-1}$ , where  $r$  is a relation~~

$\ker f$  is specified by constraints on the generators, also called relations. Some examples will give the idea.

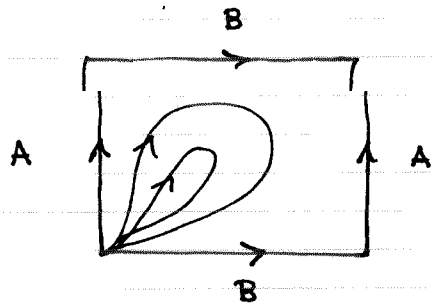
Let  $G = \text{Abelian } \mathbb{Z}^2$  group, gen. by  $\{x, y\}$ . The relation is  $xyx^{-1}y^{-1} = 1$ . We write  $G = \{x, y; xyx^{-1}y^{-1}\}$  as the presentation of  $G$ . Another example,  $G = \mathbb{Z}_k$ . Here we have one generator and one relation,  $G = \{x; x^k\}$ .

More formally,  $C = \text{constraint subgroup of } F$  is defined by

$$C = \text{gen} \{ g r g^{-1} \mid g \in F, r \in R \}$$

where  $R \subset F$  is the set of relations.  $C$  is a normal subgroup

Now some more homotopy groups. First the torus:

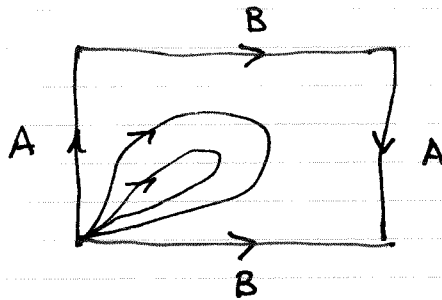


all 4 corners are same point.

Square with opposite sides identified. Obvious guesses for generators of  $\pi_1(T^2)$  are loops A, B. But clearly  $ABA^{-1}B^{-1}$  is contractible, so the group is

$$\pi_1(T^2) = \{A, B; ABA^{-1}B^{-1}\} = \mathbb{Z}^2.$$

Next Klein bottle. Square with a twisted identification.



again, all 4 corners are same pt.

This time  $ABAB^{-1}$  is contractible, and

$$\pi_1(\text{Klein bottle}) = \{A, B; ABAB^{-1}\} = \text{non-Abelian.}$$

Now remark on relation between homotopy and homology. The relation involves the commutator subgroup of an arbitrary group, which I now explain. (not same C as on last page)

Let  $G$  be any group and let  $C$  be generated by all elements of the form,

$$xyx^{-1}y^{-1}, \quad x, y \in G.$$

↳ called the commutator of 2 group elements.

C is called the commutator subgroup.

Thm: C is normal. Proof: Let  $g \in G$ , then

$$\begin{aligned}
 gxyx^{-1}y^{-1}g^{-1} &= (gxg^{-1})(gyg^{-1})(gx^{-1}g^{-1})(gy^{-1}g^{-1}) \\
 &= x'y'x'^{-1}y'^{-1} \quad \text{where } x' = gxg^{-1} \\
 &\quad \quad \quad y' = gyg^{-1}.
 \end{aligned}$$

Hence  $gCg^{-1} = C, \forall g \in G$ . Thus the quotient group  $G/C$  is defined.

Thm:  $G/C$  is Abelian. It is a kind of Abelianized version of  $G$ .

Proof: Let  $[g_1], [g_2]$  be two cosets of  $C$ . Then

$$g_1g_2 \underbrace{(g_2^{-1}g_1^{-1}g_2g_1)}_{\in C} = g_2g_1,$$

So  $[g_1, g_2] = [g_2, g_1] = [g_1][g_2] = [g_2][g_1]$ ,  $\frac{G}{C}$  is Abelian.

Thm: Let  $K$  be a simplicial complex, let  $G = \pi_1(K)$  and  $C =$  commutator subgp. of  $G$ . Then

$$\langle \! \langle H_1(K, \mathbb{Z}) \cong \frac{\pi_1(K)}{C} \! \rangle \! \rangle.$$

Proof omitted.

Eg. with Klein bottle,  $\pi_1(M) = \{x, y; xyxy^{-1}\}$ .

To divide by commutator subgroup, append extra relation  $xyx^{-1}y^{-1}$ .

If  $xyxy^{-1} = 1$  and  $xy = yx$ , then  $x(yx)y^{-1} = x(xy)y^{-1} = x^2 = 1$ .

Thus,  $H_1(\text{Klein})$  is the Abelian group generated by  $\{x, y\}$  with the relation  $x^2 = 1$ , i.e., it is  $\mathbb{Z} \times \mathbb{Z}_2$ .

Now we examine the higher homotopy groups.

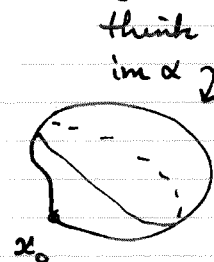
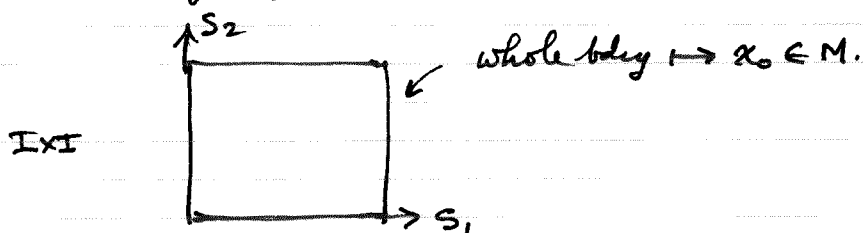
Recall that to study maps:  $S^1 \rightarrow M$ , we studied maps:  $I \rightarrow M$  ( $I = [0, 1] \subset \mathbb{R}$ ),  
subjected to the condition that  $f(0) = f(1)$  (these are loops). This was a  
matter of convenience.



Similarly, to study maps:  $S^2 \rightarrow M$  it is convenient instead to look at maps

$$\alpha: I \times I \rightarrow M,$$

where  $I \times I$  is the square and it is understood that the boundary of  $I \times I$   
is mapped to a single pt.



Note, square with all pts on bdy  $\partial I$  identified  $\cong S^2$ . Call such a map  
a 2-loop. Then the rest of the story proceeds very much as in the

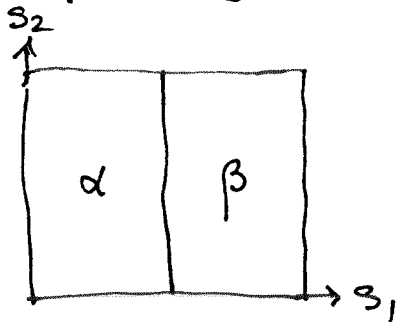
case of  $\pi_1(M)$ : More generally, consider maps  $\alpha: \underbrace{I \times \dots \times I}_{I^n} \rightarrow M$   
(These are n-loops.)  $\alpha: \partial I \rightarrow x_0$ .

1.  $\alpha \sim \beta$ , 2-loops  $\alpha$  and  $\beta$  are homotopic, if  $\exists$  a smooth  
interpolating map (the homotopy) that preserves the boundary  
point. (i.e., maps the bdy to  $x_0$  for all values of the deform. param.)
2.  $\alpha \sim \beta$  is an equivalence relation, hence classes  $[\alpha], [\beta]$   
etc. meaningful.

3.  $\alpha * \beta$  is defined by

$$(\alpha * \beta)(s_1, s_2) = \begin{cases} \alpha(2s_1, s_2) & 0 \leq \frac{1}{2} \leq s_1 \\ \beta(2s_1 - 1, s_2) & \frac{1}{2} \leq s_1 \leq 1. \end{cases}$$

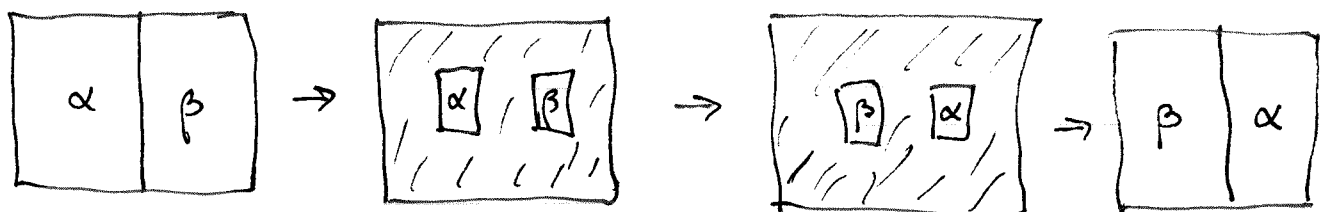
Thus, pictorially:



- 4.  $[\alpha] * [\beta]$  is defined ( $*$  respects homotopy classes), and other axioms of a group are satisfied. The group (for  $n$ -loops) is denoted  $\pi_n(M, x_0)$ .
- 5.  $\pi_n(M, x_0)$  is isomorphic to  $\pi_n(M, x_1)$ , if  $M$  is arcwise connected. Hence we just write  $\pi_n(M)$  for the abstract group (the  $n$ -th homotopy group).
- 6. If  $X$  is of same homotopy type as  $Y$ , then  $\pi_n(X) = \pi_n(Y)$ .
- 7.  $\pi_n(X \times Y) = \pi_n(X) \times \pi_n(Y)$ .

There is one important property of the higher homotopy groups ( $n \geq 2$ ) not shared by  $\pi_1$ : The higher homotopy groups are Abelian.

Reason for this can be seen pictorially:

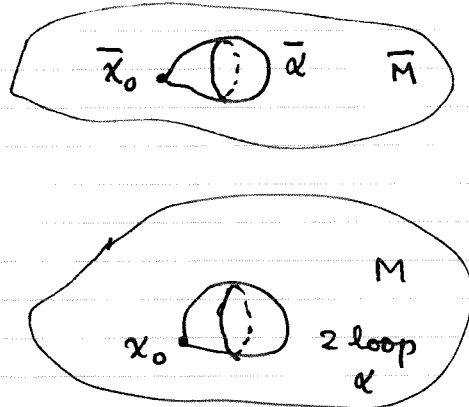


where the shaded region (and all boundaries) are mapped into  $x_0$ .

This shows that  $\alpha * \beta \sim \beta * \alpha$ , hence  $[\alpha] * [\beta] = [\beta] * [\alpha]$ .

Another property of higher homotopy groups not shared by  $\pi_1$  concerns the universal covering space. Let  $M$  be a space and  $\bar{M}$  its universal cover. Then  $\pi_1(\bar{M}) = \{e\}$  ( $\bar{M}$  is simply connected), but in general  $\pi_1(M)$  is not trivial (if it is trivial, then  $M = \bar{M}$ ).

But for  $n \geq 2$ ,  $\pi_n(\bar{M}) = \pi_n(M)$ . The basic idea behind this fact is that the spheres  $S^n$  are simply connected for  $n \geq 2$ , so it's possible to define the lift of an  $n$ -loop:



The lift of a point  $x$  on the 2-loop  $\alpha$  is the equivalence class  $[(x, \gamma)]$ , where  $\gamma$  is a path from  $x_0$  to  $x$  confined to  $\alpha$ . Since the 2-loop  $\alpha$  is simply connected, it means that the class  $[(x, \gamma)]$  is independent of the choice of  $\gamma$ , and therefore specifies a unique pt in  $\bar{M}$ .

As an application of this, note that  $\mathbb{R}P^n$  is covered by  $S^n$ , so

$$\pi_k(\mathbb{R}P^n) = \pi_k(S^n). \quad \text{So what is } \pi_k(S^n)?$$

simplest case is  $\pi_n(S^n)$ , which concerns mappings of  $S^n \rightarrow S^n$ .

Recall  $\pi_1(S^1) = \mathbb{Z}$ , where  $n \in \mathbb{Z}$  is interpreted as a winding number. There is a generalization of this to higher dimensions, i.e., for a map  $f: S^n \rightarrow S^n$  it is possible to say "how many times" the image of  $f$  "wraps around"  $S^n$ . This number is called the Brouwer index or degree of  $f$ . And, as in the case  $n=1$ , it turns out that the Brouwer degree uniquely characterizes the homotopy classes. Thus,

$$\pi_n(S^n) = \mathbb{Z} \quad (\text{all } n \geq 1).$$

What about the case  $\pi_k(S^n)$  for  $k < n$ ? Recall  $\pi_1(S^n) = \{e\}$  for  $n \geq 2$  (the loop is contractible on the face of  $S^n$ ). Something like this also happens for  $\pi_k(S^n)$  when  $k < n$ , that is,

$$\pi_k(S^n) = \{e\}, \quad 1 \leq k < n.$$

It turns out the case  $k > n$  is also interesting. It's not easy to see this, because the highest dimensional case that is easy to visualize concerns maps  $f: S^2 \rightarrow S^1$  ( $k=2, n=1$ ), and all such maps are trivial (= contractible). (Think of mapping a sphere  $S^2$  onto the equator). Thus,

$$\pi_2(S^1) = \{e\}.$$

But it turns out there are nontrivial (not homotopic to constant map) maps from  $S^3$  to  $S^2$ . The Hopf map discussed earlier in the



course is an example of one of these. In fact,

$$\pi_3(S^2) = \mathbb{Z}.$$

In all these results,  $k > 1$ , we can replace  $S^n$  by  $\mathbb{R}P^n$ .

See the table in Nakahara, p. 151.

Finally, what about the case  $n=0$ ? A literal interpretation of the definition of  $\pi_n(M, x_0)$  to the case  $n=0$  would involve maps  $f: S^0 \rightarrow M$  with one point fixed.  $S^0$  is properly just 2 points ( $\pm 1$  in  $\mathbb{R}$ ), so we can say  $f(1) = x_0$ ,  $f(-1) = \text{another pt. } x_1$ , say. But if  $M$  is connected, then  $x_1$  can be continuously pulled to  $x_0$ , and  $\pi_0(M) = \{e\}$ , all connected  $M$ .

Now go back to defects in CM systems, now that we know about higher homotopy groups. Make a table of various order parameter spaces (OPS's):

<u>System</u>	<u>OPS = M</u>
Liqu. $^4\text{He}$ $\times 4$ spin model	$S^1$
Nematic liquids	$\mathbb{R}P^2$
Dipole locked $^3\text{He}$ phase A	$SO(3) = \mathbb{R}P^3$

Recall, <sup>in 3D</sup> point defects are described by nontrivial homotopy classes  $\pi_2(M)$ , line defects (vortices) by  $\pi_1(M)$ , and textures (field configurations) with asymptotically constant values by  $\pi_3(M)$ .

Make a table of homotopy groups:

OPS	$\pi_1$	$\pi_2$	$\pi_3$
$S^1$	$\mathbb{Z}$	$\{e\}$	$\{e\}$
$\mathbb{R}P^2$	$\mathbb{Z}_2$	$\mathbb{Z}$	$\mathbb{Z}$
$SO(3) = \mathbb{R}P^3$	$\mathbb{Z}_2$	$\{e\}$	$\mathbb{Z}$
$S^2$	$\{e\}$	$\mathbb{Z}$	$\mathbb{Z}$

For example, a unit vector field  $\hat{n}(\vec{r})$  ( $OPS = S^2$ ) can possess point defects (characterized by an integer) and field configurations that are ~~with~~ asymptotically constant (also characterized by an integer), but no line defects (vortices).

Now start on manifold theory. Most of the spaces that occur in physics are differentiable manifolds. The idea is that a diff. manifold is a topological space with enough extra structure to talk about differentiability. That is, one can do calculus on manifolds.

Def: A differentiable manifold  $M$  is a topological space ~~and that~~ plus a set  $\{U_i, \varphi_i\}$ , where  $\{U_i\}$  is an open cover of  $M$  (each  $U_i$  is an open subset of  $M$ , and  $\bigcup U_i = M$ ), and where  $\varphi_i$  is a map

$$\varphi_i : U_i \rightarrow \mathbb{R}^n$$

such that:

- a)  $\varphi_i$  is a homeomorphism onto its image  $V_i$  in  $\mathbb{R}^n$