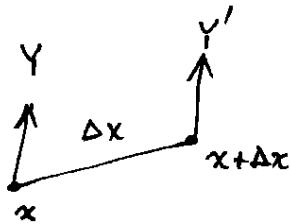


4/20/04

Summary.Vectors, infinitesimal || transport:

$$Y'^\mu = (\delta^\mu_\nu - \Delta x^\sigma \Gamma^\mu_{\sigma\nu}) Y^\nu \quad (\text{coord})$$



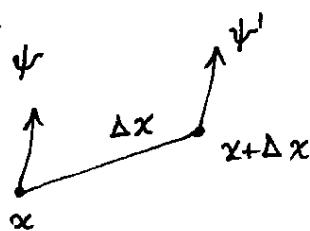
$$Y'^\alpha = \underbrace{(\delta^\alpha_\beta - \Delta x^\gamma \Gamma^\alpha_{\gamma\beta})}_{} Y^\beta \quad (\text{o.n. vielbein})$$

$$\rightarrow = (I + \Omega)^\alpha_\beta, \quad \Lambda = I + \Omega \in O(3,1)$$

Spinors:

$$\Omega_{\alpha\beta} = -\Delta x^\gamma \Gamma_{\alpha\gamma\beta} = -\Omega_{\beta\alpha}.$$

$$\psi' = D(\Lambda) \psi$$



$D(\Lambda) = \text{Dirac "representation" of Lorentz group.}$  Actually, it's not a representation (it's double valued), and it's not a rep. of the whole Lorentz group, only the proper, orthochronous Lorentz group. More on all that in a moment, for now be sloppy and just write  $D(\Lambda)$ , and call on standard material on Lorentz transforming the Dirac equation. This tells us, for infinitesimal Lorentz transformations, ( $\Omega \ll 1$ ),

$$D(I + \Omega) = 1 - \frac{i}{4} \Omega_{\alpha\beta} \sigma^{\alpha\beta},$$

where  $\sigma^{\alpha\beta} = \frac{i}{2} [\gamma^\alpha, \gamma^\beta]$  (standard notation for Dirac matrices). Recall  $\{\gamma^\alpha, \gamma^\beta\} = 2\eta^{\alpha\beta}$

4/20/04

Summary of the relevant facts regarding Dirac matrices and  
Lorentz transforming Dirac spinors:

↓ explain later.

$$\mathcal{D}(\Lambda_1) \mathcal{D}(\Lambda_2) = \pm \mathcal{D}(\Lambda_1 \Lambda_2)$$

$$\psi' = \mathcal{D}(\Lambda) \psi \quad (\text{Lorentz transforming Dirac spinor})$$

$$\mathcal{D}(\Lambda)^{-1} \gamma^\alpha \mathcal{D}(\Lambda) = \Lambda^\alpha{}_\beta \gamma^\beta \quad (\gamma^\alpha \text{ transforms as a 4-vector})$$

$$\gamma^0 \mathcal{D}(\Lambda)^+ \gamma^0 = \mathcal{D}(\Lambda)^{-1}$$

Hence

$$\mathcal{D}(I + \Omega) = 1 - \frac{i}{4} \Omega_{\alpha\beta} \sigma^{\alpha\beta}.$$

$$\boxed{\psi' = \left( 1 + \frac{i}{4} \frac{\gamma}{\Delta x} \Gamma_{\alpha\beta\gamma} \sigma^{\alpha\beta} \right) \psi}$$

So, basic idea is that under an infinitesimal parallel transport, a spinor transforms by the same (infinitesimal) Lorentz transformation as a vector, but the spinor rep. of the L.T. must be used.

Now, the covariant derivative is defined by the parallel transport. We put  $\Delta x^\alpha = \varepsilon X^\alpha$  where  $X \in T_x M$ , and define (for  $Y \in \mathcal{X}(M)$ )

$$\nabla_X Y = \lim_{\varepsilon \rightarrow 0} \frac{1}{\varepsilon} [Y(x+\varepsilon X) - Y'] =$$

$$\text{gives } (\nabla_X Y)^\mu = X^\nu (Y^\mu{}_\nu + \Gamma^\mu{}_{\nu\sigma} Y^\sigma).$$

Similarly, define (for a spinor field  $\psi(x)$ ):

$$\nabla_X \psi = \lim_{\varepsilon \rightarrow 0} \frac{1}{\varepsilon} [\psi(x+\varepsilon X) - \psi']$$

$$\nabla_X \psi = X^\alpha [\psi_\alpha - \frac{i}{4} \Gamma_{\beta\alpha\gamma} \sigma^{\beta\gamma} \psi]$$

$$\nabla_\alpha \psi = \psi_\alpha - \frac{i}{4} \Gamma_{\beta\alpha\gamma} \sigma^{\beta\gamma} \psi$$

Covariant deriv on  
Dirac spinors

Here  $\psi_\alpha$  means  $e_\alpha^\mu \psi$ ,  $e_\alpha$  = member of O.N. vierbein.

Then Dirac Lagrangian, to be added to gravitational Lagrangian considered above, is

$$\mathcal{L}_D = \bar{\psi} (i \gamma^\alpha \nabla_\alpha - m) \psi.$$

We can now show that  $\nabla_\alpha \psi$  has the right transformation properties under a gauge transformation. Remind you, latter is defined by

$$e'_\alpha = \Lambda_\alpha^\beta e_\beta.$$

Then, given that  $\psi' = D(\Lambda) \psi$ , you find that

$$\nabla'_\alpha \psi' = \Lambda_\alpha^\beta D(\Lambda) \nabla_\beta \psi$$

Exercise for you to derive

$\nabla_\alpha \psi$  transforms as a covector in its  $\alpha$ -index, and as a spinor in  $\psi$ . This transformation law is necessary for the invariance of the integral  $\int \sqrt{|g|} d^4x \mathcal{L}_D$ .

About the "representation"  $D(\Lambda)$ . Actually, it's a spinor (double-valued) representation, more exactly, should write  $\Lambda(D)$  instead of  $D(\Lambda)$  if you want a single valued rep. Parallel comparison with the non-relativistic case is useful.

$O(3)$  = classical rotation group,  $SO(3)$  = identity component (throw away parity). Then  $SU(2)$  = double cover of  $SO(3)$ , spinor rotation group:

$$\begin{array}{c} SU(2) \\ \downarrow \pi \\ SO(3) \end{array}$$

And then spinors are transformed by some representations of  $SU(2)$ ,  $SU(2)$  itself for spin  $\frac{1}{2}$  particles, a 4D rep for spin  $\frac{3}{2}$ , etc.

4/20/04

In the relativistic generalization of this,  $O(3,1) =$  full Lorentz group. Denote  $L_0 =$  identity component of  $O(3,1) = \frac{1}{4}$  of  $O(3,1)$  (throw away parity, time reversal).  $L_0$  consists of matrices  $\Lambda \in O(3,1)$  such that  $\det \Lambda = +1$  and  $\Lambda^0_0 \geq 1$  (proper, orthochronous Lorentz transformations). The group  $L_0$  has a double cover or spinor representation, which is  $SL(2, \mathbb{C})$ .

$$\begin{array}{c} L_0 \\ \downarrow \pi \\ SL(2, \mathbb{C}) \end{array}$$

So relativistic spinors get transformed by a rep. of  $SL(2, \mathbb{C})$ . It turns out that there are two inequivalent  $2 \times 2$  reps of  $SL(2, \mathbb{C})$ , one is  $SL(2, \mathbb{C})$  itself, call the other  $\overline{SL(2, \mathbb{C})}$ . They correspond to two different ways of promoting the pauli matrices  $\vec{\sigma}$  into a 4-vector,

$$\sigma_\mu = (1, \vec{\sigma}) \quad \text{or} \quad \sigma_\mu = (-1, \vec{\sigma}).$$

The Dirac  $(4 \times 4)$  rep. is the direct sum of these two,

$$D = \left[ \begin{array}{c|c} SL(2, \mathbb{C}) & 0 \\ \hline 0 & \overline{SL(2, \mathbb{C})} \end{array} \right] \quad \text{in the right basis.}$$

More about this in my 221B notes on the Dirac eqn.

4/20/04

Now we begin Hodge \* theory and harmonic forms. To preview the results a bit, when we add a metric to a manifold we can do new things with differential forms and find new connections to old subjects such as cohomology groups (which do not require a metric for their definition).

If we add a metric to  $M$ , we can define a scalar product of wave functions,

$$\langle f, g \rangle = \int_M \sqrt{|g|} d^m x \{ f g \} \quad m = \dim M$$

$$\langle f_1, f_2 \rangle = \int_M d^m x \sqrt{|g|} f_1 f_2$$

where  $m = \dim M$ ,  $f_1, f_2 \in \mathbb{F}(M)$ . (Real valued functions here.) Thus the wave functions make a Hilbert space. We also have interesting operators that act on these wave funs, such as the generalized Laplacian  $\nabla^2$  (which requires a metric for its definition.), and which lead to orthonormal sets of eigenfunctions.

All this (the scalar product, Laplacians) etc. can be generalized to arbitrary  $r$ -forms. It turns out for example that the degeneracy of the 0 eigenvalue of  $\nabla^2$  is the same as the Betti number of  $M$ .

The permutation or Levi-Civita symbol is familiar:

$$\epsilon_{\mu_1 \dots \mu_m} = \begin{cases} +1 & (\mu_1 \dots \mu_m) = \text{even perm of } (1 \dots m) \\ -1 & (\mu_1 \dots \mu_m) = \text{odd perm of } (1 \dots m) \\ 0 & \text{otherwise} \end{cases}$$

Just because we put lower indices on it does not mean that it is a tensor. In fact, suppose a tensor has components  $\epsilon_{\mu_1 \dots \mu_m}$  in one coord. system  $x^\mu$ , and examine what its components are in another coord. syst.  $x'^\mu$ :

Here  $\{\theta^\mu\}$  is any basis (coordinate or non-coordinate). Note that if  $\{\theta^\mu\}$  is an O.N. basis, then  $\sqrt{|g|} = 1$  and  $\Omega = \theta^1 \wedge \dots \wedge \theta^m$ .

It is of interest to compute the completely contravariant components of  $\Omega$ :

$$\begin{aligned}\Omega^{\mu_1 \dots \mu_m} &= g^{\mu_1 \nu_1} \dots g^{\mu_m \nu_m} \Omega_{\nu_1 \dots \nu_m} \\ &= \det(g^{\mu\nu}) \sqrt{|g|} \epsilon_{\mu_1 \dots \mu_m}\end{aligned}$$

But  $\det g^{\mu\nu} = \frac{1}{g} = \text{sgn}(g)/|g|$ . So,

$$\boxed{\Omega^{\mu_1 \dots \mu_m} = \frac{\text{sgn}(g)}{\sqrt{|g|}} \epsilon_{\mu_1 \dots \mu_m}} \quad \text{useful later.}$$

We don't worry that LHS has upper indices and RHS has lower, since  $\epsilon$  is not a tensor.

$\Omega$  is called the invariant volume form since its integral over any region  $R \subseteq M$  is the volume of that region in the metrical sense,

$$\int_R \Omega = \text{vol}(R).$$

On a space with  $m = \dim M$  dimensions, both  $r$ -forms and  $(m-r)$ -forms have the same number of indep. components,

$$\binom{m}{r} = \binom{m}{m-r}.$$

Thus  $r$ -forms and  $(m-r)$ -forms (at a point  $x \in M$ ) are vector spaces of the same dimensionality, and are isomorphic as vector spaces.

In the absence of a metric or other additional structure, however, there is no natural isomorphism between these spaces. Now, however, we will assume we have a metric  $(M, g)$ . Then there is a natural mapping  $\xrightarrow{\text{an isomorphism, actually,}}$  between these spaces,

$$\text{Hodge } * : \Omega^r(M) \rightarrow \Omega^{m-r}(M).$$

It is defined by its action on the basis forms of  $\Omega^r(M)$ , then extended to arb.<sup>r</sup> forms by linearity. The defn. is

$$*(\theta^{\mu_1} \wedge \dots \wedge \theta^{\mu_r}) = \frac{1}{(m-r)!} \Omega^{\mu_1 \dots \mu_r} \nu_1 \dots \nu_{m-r} (\theta^{\nu_1} \wedge \dots \wedge \theta^{\nu_{m-r}}).$$

Indices on  $\Omega$  are raised with  $g^{\mu\nu}$ .

As a special case, consider the 0-form  $1 \in \Omega^0(M)$  (const scalar). Then  $r=0$  in the above, and we have

$$*1 = \frac{1}{m!} \Omega \nu_1 \dots \nu_m \theta^{\nu_1} \wedge \dots \wedge \theta^{\nu_m} = \Omega,$$

$$\boxed{*1 = \Omega}$$

The defn above makes it clear that  $*$  is linear, but is it an isomorphism (i.e., is it invertible)? We answer by computing  $**$ , a map:  $\Omega^r(M) \rightarrow \Omega^r(M)$ . We apply defn above twice, get

$$**(\theta^{\mu_1} \wedge \dots \wedge \theta^{\mu_r}) = \frac{1}{(m-r)!} \Omega^{\mu_1 \dots \mu_r} \nu_1 \dots \nu_{m-r}$$

$$\times \frac{1}{r!} \Omega^{\nu_1 \dots \nu_{m-r}} \lambda_1 \dots \lambda_r (\theta^{\lambda_1} \wedge \dots \wedge \theta^{\lambda_r}).$$

4/20/04

Transform this. First raise + lower  $\nu_1 \dots \nu_{m-r}$  indices to make indices uniformly upper or lower. Next, on  $\Omega_{\nu_1 \dots \nu_{m-r} \lambda_1 \dots \lambda_r}$ , migrate  $\lambda$  indices to left of  $\nu$  indices. This involves  $(m-r)r$  sign changes, so

$$\Omega_{\nu_1 \dots \nu_{m-r} \lambda_1 \dots \lambda_r} = (-1)^{r(m-r)} \Omega_{\lambda_1 \dots \lambda_r \nu_1 \dots \nu_{m-r}}.$$

Thus,

$$**(\theta^{\mu_1} \wedge \dots \wedge \theta^{\mu_r}) = \frac{1}{(m-r)!} \frac{1}{r!} (-1)^{r(m-r)}$$

$$\times \boxed{\Omega^{\mu_1 \dots \mu_r \nu_1 \dots \nu_{m-r}} \Omega_{\lambda_1 \dots \lambda_r \nu_1 \dots \nu_{m-r}}} \theta^{\lambda_1} \wedge \dots \wedge \theta^{\lambda_r}$$

$$\rightarrow = \frac{\text{sgn}(g)}{\sqrt{|g|}} \epsilon_{\mu_1 \dots \mu_r \nu_1 \dots \nu_{m-r}} \times \sqrt{|g|} \epsilon_{\lambda_1 \dots \lambda_r \nu_1 \dots \nu_{m-r}}$$

$$= \text{sgn}(g) \text{ sgn} \left( \begin{matrix} \mu_1 \dots \mu_r \\ \lambda_1 \dots \lambda_r \end{matrix} \right) (m-r)!$$

where we use identities for products of two  $\epsilon$ 's and where

$$\text{sgn} \left( \begin{matrix} \mu_1 \dots \mu_r \\ \lambda_1 \dots \lambda_r \end{matrix} \right) = \begin{cases} \pm 1 & \text{if } (\lambda_1 \dots \lambda_r) \text{ is (even) prod of } \mu_1 \dots \mu_r \\ 0 & \text{otherwise.} \end{cases}$$

Thus,

$$\text{sgn} \left( \begin{matrix} \mu_1 \dots \mu_r \\ \lambda_1 \dots \lambda_r \end{matrix} \right) \theta^{\lambda_1} \wedge \dots \wedge \theta^{\lambda_r}$$

$$= r! \theta^{\mu_1} \wedge \dots \wedge \theta^{\mu_r}.$$

Putting it all together, we have

$$** (\theta^{\mu_1} \wedge \dots \wedge \theta^{\mu_r}) = \text{sgn}(g) (-1)^{r(m-r)} (\theta^{\mu_1} \wedge \dots \wedge \theta^{\mu_r}).$$

or

$$** = \text{sgn}(g) (-1)^{r(m-r)}$$

when acting on  $\omega \in \Omega^r(M)$ .

equivalently,

$$\ast^{-1} = \text{sgn}(g) (-1)^{r(m-r)} \ast$$

Thus  $\ast$  is invertible, and  $\ast$  is an isomorphism.

Now consider the interaction of  $\ast$  with  $\wedge$ . Let  $\alpha, \beta \in \Omega^r(M)$ .

Then  $\ast\beta$  is an  $(m-r)$ -form, and

$$\alpha \wedge \ast\beta \in \Omega^m(M).$$

Thus  $\alpha \wedge \ast\beta$  must be proportional to the volume form  $\Omega$ , i.e., it must be  $f\Omega$  for some scalar  $f$ . Now we work out what  $f$  is.

Write

$$\alpha = \frac{1}{r!} \alpha_{\mu_1 \dots \mu_r} \theta^{\mu_1} \wedge \dots \wedge \theta^{\mu_r}$$

$$\beta = \frac{1}{r!} \beta_{\nu_1 \dots \nu_r} \theta^{\nu_1} \wedge \dots \wedge \theta^{\nu_r}$$

Hence

$$\ast\beta = \frac{1}{r!} \beta_{\nu_1 \dots \nu_r} \frac{1}{(m-r)!} \Omega^{\nu_1 \dots \nu_r} \lambda_1 \dots \lambda_{m-r} \theta^{\lambda_1} \wedge \dots \wedge \theta^{\lambda_{m-r}},$$

and

$$\begin{aligned} \alpha \wedge \ast\beta &= \frac{1}{(r!)^2 (m-r)!} \alpha_{\mu_1 \dots \mu_r} \beta_{\nu_1 \dots \nu_r} \Omega^{\nu_1 \dots \nu_r} \lambda_1 \dots \lambda_{m-r} \\ &\quad \times \theta^{\mu_1} \wedge \dots \wedge \theta^{\mu_r} \wedge \theta^{\lambda_1} \wedge \dots \wedge \theta^{\lambda_{m-r}}. \end{aligned}$$

Transform this. First raise + lower  $\nu_1 \dots \nu_r$  indices, use  
 $\theta^{\mu_1} \wedge \dots \wedge \theta^{\mu_r} \wedge \theta^{\lambda_1} \wedge \dots \wedge \theta^{\lambda_{m-r}} = \epsilon_{\mu_1 \dots \mu_r \lambda_1 \dots \lambda_{m-r}} \theta^1 \wedge \dots \wedge \theta^m$ . Get,

$$\alpha \wedge * \beta = \frac{1}{(r!)^2 (m-r)!} d_{\mu_1 \dots \mu_r} \beta^{\nu_1 \dots \nu_r} \sqrt{|g|} \epsilon_{\nu_1 \dots \nu_r \lambda_1 \dots \lambda_{m-r}}$$

$$\times \epsilon_{\mu_1 \dots \mu_r \lambda_1 \dots \lambda_{m-r}} \theta^1 \wedge \dots \wedge \theta^m$$

$$= \frac{1}{(r!)^2 (m-r)!} d_{\mu_1 \dots \mu_r} \beta^{\nu_1 \dots \nu_r} (m-r)! \operatorname{sgn} \begin{pmatrix} \mu_1 \dots \mu_r \\ \nu_1 \dots \nu_r \end{pmatrix} \Omega$$

$$\alpha \wedge * \beta = \left( \frac{1}{r!} d_{\mu_1 \dots \mu_r} \beta^{\mu_1 \dots \mu_r} \right) \Omega$$

The scalar multiplying  $\Omega$  is the complete contraction of the components of  $\alpha$  with those of  $\beta$ .

Several things to note about this. First, the answer is symmetric in  $\alpha, \beta$ , so

$$\alpha \wedge * \beta = \beta \wedge * \alpha$$

Next, if  $g$  is pos. def., then  $d_{\mu_1 \dots \mu_r} \alpha^{\mu_1 \dots \mu_r} \geq 0$ , i.e. you get a pos. def. scalar product of  $r$ -forms. Define

$$\langle \alpha, \beta \rangle = \int_M \alpha \wedge * \beta = \langle \beta, \alpha \rangle$$

Then if  $g$  is pos. def. (a Riemannian manifold) then this scalar product is also pos. def., i.e.,  $\langle \alpha, \alpha \rangle \geq 0$  and  $\langle \alpha, \alpha \rangle = 0$  iff  $\alpha = 0$ .

Notice that if  $\alpha, \beta$  are 0-forms (call them  $f_1, f_2$ ), then we get the obvious scalar product of them,

$$\langle f_1, f_2 \rangle = \int_M f_1 f_2 = \int d^m x \sqrt{|g|} f_1 f_2.$$

More generally, if  $g$  is pos. def., we have ~~a~~ a scalar product on  $\Omega^r(M)$  that allows us to define a Hilbert space of  $r$ -forms. The functional analysis of this is easiest in the case of compact  $M$ .

An example of this scalar product. Let  $F$  be the EM field tensor in 4D space time, (maybe curved),

$$F = \frac{1}{2} F_{\mu\nu} \theta^\mu \wedge \theta^\nu.$$

Then

$$\langle F, F \rangle = \int F \wedge * F = \frac{1}{2} \int F_{\mu\nu} F^{\mu\nu} \sqrt{|g|} d^4x.$$

This is  $2x$  the EM field action,

$$S_{EM} = \frac{1}{2} \langle F, F \rangle.$$

(But the scalar product is not pos. def. on space-time.)

Now consider interaction of  $*$  with exterior deriv.  $d$ .

Let  $\alpha \in \Omega^r(M)$ ,  $\beta \in \Omega^{r-1}(M)$ . Then  $\langle \alpha, d\beta \rangle$  is meaningful.

We define the operator  $d^+$  (the adjoint of  $d$ ) by

$$\langle \alpha, d\beta \rangle = \langle d^+ \alpha, \beta \rangle, \quad \forall \alpha \in \Omega^r(M), \beta \in \Omega^{r-1}(M).$$

$d^+$  is the unique operator that makes this equation true. Note that

$$d^+ : \Omega^r(M) \rightarrow \Omega^{r-1}(M)$$

$$d : \Omega^{r-1}(M) \rightarrow \Omega^r(M)$$

(11)  
4/20/04

( $d$  and  $d^+$  work in opposite directions).

We can find an expression for  $d^+$  as follows.

$$\langle d^+ \alpha, \beta \rangle = \langle \alpha, d\beta \rangle = \langle d\beta, \alpha \rangle = \int_M d\beta \wedge * \alpha.$$

But  $d(\beta \wedge * \alpha) = d\beta \wedge * \alpha + (-1)^{r-1} \beta \wedge d * \alpha$ , so

$$\rightarrow = \int_M d(\beta \wedge * \alpha) - (-1)^{r-1} \int_M \beta \wedge d * \alpha.$$

First term vanishes by Stokes' theorem (we assume  $\partial M = 0$ ), so

$$\rightarrow = (-1)^r \int_M \beta \wedge d * \alpha = (-1)^r \int_M \beta \wedge * (*^{-1} d * \alpha)$$

$$= (-1)^r \langle \beta, *^{-1} d * \alpha \rangle = (-1)^r \langle *^{-1} d * \alpha, \beta \rangle.$$

This implies,

$$d^+ = (-1)^r *^{-1} d *$$
 acting on  $r$ -forms.

In this expression,  $*^{-1}$  acts on an  $(m-r+1)$ -form, so

$$*^{-1} = \text{sgn}(g) (-1)^{(m-r+1)(r-1)} *$$
.

Since

$$r + (m-r+1)(r-1) \equiv mr+m+1 \pmod{2},$$

we have

$$d^+ = \text{sgn}(g) (-1)^{mr+m+1} * d *$$

alternative expression,  
acting on  $r$ -forms.

4/20/04

we note the identity,

$$\boxed{d^+ d^+ = 0}$$

which is easily proved,

$$d^+ d^+ = *d**d* = \pm *dd* = 0.$$

---

Note that  $d^+$  annihilates any 0-form,

$$d^+ f = 0, \quad f \in \mathcal{F}(M)$$

because there are no  $(-1)$ -forms.